DT07 Rec'd PCT/PTO 1 5 OCT 2004

Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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#### ATTTORNEY'S DOCKET NUMBER TRANSMITTAL LETTER TO THE UNITED STATES JP920020083US DESIGNATED/ELECTED OFFICE (DO/EO/US) **CFR 1.5)** CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE April 28, 2003 PRIORITY DATE CLAIMED JP03/05482 April 30, 2002 TITLE OF INVENTION E OF INVENTION Encrypted Communication System, Key Delivery Server Thereof, Terminal Device and Key Sharing Method APPLICANT(S) FOR DO/EO/US Yuji Watanabe, Masayuki Numao Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: 1. X This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. X is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: 11. X An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. X An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. A preliminary amendment. An Application Data Sheet under 37 CFR 1.76. A substitute specification. A power of attorney and/or change of address letter. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. A second copy of the published International Application under 35 U.S.C. 154(d)(4). A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4). Other items or information:

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO-1390 (Rev. 07-2004)
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U.S. APPLICATION N	.S. APPLICATION NO. (if known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO.		ATTORNEY'S DOCKET NUMBER				
1 1 1	10/511466 JP03/05482		JP920020083US1				
21. X The following				CALCULATIONS	PTO USE ONLY		
	E (CFR 1.492(a)(1)-(5)):						
Neither international preliminary examination fee (37 CFR 1.482)							
nor international search and International Search	h fee (37 CFR 1.445(a)(2)) paid ch Report not prepared by the B						
International preliminar USPTO but Internation	ry examination fee (37 CFR 1.4 nal Search Report prepared by t						
International preliminar but international search							
International preliminar but all claims did not sa	ry examination fee (37 CFR 1.4 atisfy provisions of PCT Article						
and all claims satisfied	ry examination fee (37 CFR 1.4 provisions of PCT Article 33(1) ENTER APPROPRIATE	\$ 1080	00				
	for furnishing the oath or declar ed priority date (37 CFR 1.492(	\$					
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$			
Total claims	14 - 20 =	0	X \$18.00	\$ 0			
Independent claims	8 -3=	5	X \$86.00	<b>\$</b> 430.	00		
MULTIPLE DEPENDE	NT CLAIM(S) (if applicable)		+ \$290.00	\$ U			
		LCULATIONS =	\$				
Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½.							
		\$ 0					
Processing fee of \$130 claimed priority date (3	<b>0.00</b> for furnishing the English tr 37 CFR 1.492(f)).	\$					
		\$					
	enclosed assignment (37 CFR 1 er sheet (37 CFR 3.28, 3.31). \$4	\$ 40	00				
		\$ 1550					
	<del></del>	Amount to be	 s				
		refunded:					
				Amount to be charged:	\$		
a. A check in th	ne amount of \$	to cover the ab	ove fees is enclosed.				
b. Please charge my Deposit Account No.09-0468 in the amount of \$ 1550.00 to cover the above fees.  A duplicate copy of this sheet is enclosed.							
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 09-0468. A duplicate copy of this sheet is enclosed.							
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.							
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.187(a) or (b)) must be filed							
and granted to restore the International Application to pending status.							
SEND ALL CORRESPONDENCE TO:							
Louis J. Percello IBM Corporation							
	ion Property Law De <sub>l</sub>	ercello					
P.O. Box 218							
Yorktown Heights, NY 10598 33.206 REGISTRATION NUMBER							
(LEGIOTIVITION NOME)							

### IN THE USED STATES PATENT AND TRAINIARK OFFICE

DTOB Racid POWETO 15 OCT 2004

In re application of: Watanabe, et at.

Docket No.: JP920020083US1

Serial No.: Not yet assigned

Group Art Unit: Not yet assigned

Filed: Herewith

Examiner: No yet assigned

Date: Herewith

For: ENCRYPTED COMMUNICATION SYSTEM, KEY DELIVERY SERVER

THEREOF, TERMINAL DEVICE AND KEY SHARING METHOD

Mail Stop PCT Commissioner of Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### **EXPRESS MAIL CERTIFICATE**

Express Mail Lab	el Number_	EV091801525US	
Date of Deposit	October	15, 2004	

I hereby certify that the attached paper or fee:

- 1. Transmittal Letter to the United States Designated-Elected Office (DO/EO/US)
  Concerning a Filing Under 35 USC 371
- 2. Patent Application as filed in PCT Office
- 3. Formal Drawings (7) sheets
- 4. Recordation Form Cover Sheet and Assignment
- 5. Declaration and Power of Attorney
- 6. A Power of Attorney
- 7. PCT Publication WO 03/094422 A1
- 8. Information Disclosure Statement w/ references and Transmittal Letter
- 9. Return Postcard

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Barbara A. Miuccio
(Name)
(Name)
Signature

Note: Each paper must have its own certificate and the "Express Mail" label number as a part thereof or attached thereto.

When, as here, the certification is presented on a separate sheet, that sheet must (1) be signed and (2) fully identify and be securely attached to the paper or fee it accompanies. Identification should include the serial number and filing date of the application as well as the type of paper being filed, e.g. complete application, specification and drawings, responses to rejection or refusal, notice of appeal, etc. If the serial number of the application is not known, the identification should include at least the name of the inventor(s) and the title of the invention.

Note:

The label number need not be placed on each page. It should, however, be placed on the first page of each separate document, such as, a new application, amendment, assignment, and transmittal letter for a fee, along with the certificate of mailing by "Express Mail". Although the label number may be on checks, such a practice is not required. In order not to deface formal drawings it is suggested that the label number be placed on the back of each formal drawing or the drawings be accompanied by a set of informal drawings on which the label number is placed.

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Watanabe, et at.

Docket No.: JP920020083US1

Serial No.: Not yet assigned

**Group Art Unit:** 

Filed: Herewith

Examiner:

Date: Herewith

For: ENCRYPTED COMMUNICATION SYSTEM, KEY DELIVERY SERVER

THEREOF, TERMINAL DEVICE AND KEY SHARING METHOD

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#### TRANSMITTAL LETTER

Sir:

Please find enclosed a listing (PTO Form 1449) of references which may be relevant to the above-referenced application. This listing of references is not a representation that a search has been made, that better references than listed do not exist, or that other references are not applicable. Moreover, this listing does not constitute any admission by applicants or applicants' representative that the information provided herein is necessarily prior art to applicants' invention. Please charge any fee necessary to enter this paper to deposit account 09-0468.

Respectfully submitted,

Louis J. Perce

Reg. No. 33,**2**06 (914) 945*-3*145

IBM Corporation Intellectual Property Law Dept. P.O. Box 218 Yorktown Heights, New York 10598



## DT07 Rec'd PCT/PTO 1 5 OCT 2004 PLEASE STAMP AND RETURN TO US

Filing: Transmittal Letter to the United States Designated Letter Office, Patent Application, Formal Drawings (7-sheets: Recordation Form Cover Sheet and Assignment, Declaration and Power of Attorney, A Power of Attorney, Information Disclosure Statement with references and Transmittal Letter, PCT Publication, and Express Mail Certificate.

Express Mail Label No.: EV091801525US
Date of Deposit: October 15, 2004

Re: Application of Watanabe et al. Attorney: LJP For: Encrypted Communication System, Key Delivery Server Thereof, Terminal Device and Key Sharing Method Serial No: Herewith Docket No: JP920020083US1

All Fees are charged to our Account No. 09-0468

# 10/511466